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A combined MRI biomarker approach using a non-standard Multiple Factor Analysis

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Abstract—In medical applications, various MRI biomarkers, which are extracted from different MRI modalities, are used to detect physiologic abnormalities but different biomarkers are usually sensitive to different aspects of the disease. Thus, it would be interesting to use the information carried by multiple biomarkers, especially in the context of clinical trials where the efficiency of a treatment should be judged as precisely as possible.

This paper proposes an approach that combined various MRI biomarkers in the context of a Multiple Sclerosis (MS) clinical trial. The method mainly includes four steps: After extracting the biomarkers from different MRI modalities, a histogram analysis is performed followed by a Multiple Factor Analysis (MFA) to produce linear combinations of the MRI biomarkers and finally, a Hierarchical Clustering based on the MFA results is executed.

The aim of this approach is to conclude more effectively on the effect of a treatment in a clinical trial.

Keywords—MRI; Biomarker; Dimensionality reduction; Histogram analysis

I. INTRODUCTION

Magnetic Resonance Imaging (MRI) of the brain plays an important role in diagnosing Multiple Sclerosis (MS), monitoring treatment response and predicting disease progression. Multiple sclerosis is an inflammatory, demyelinating and neurodegenerative disease [1], characterized by the presence of multiple lesions in the central nervous system and clinically by relapses and accumulation of neurological disability. MS presents with different phenotypes, around 85% MS patients have a relapsing-remitting (RRMS) form of the disease. After 10-20 years, more than 50% of RRMS patients convert to the secondary progressive stage of the disease (SPMS), characterized by a gradual neurological decline without relapses. In about 15% of the cases, MS has a progressive course from the beginning called primary progressive MS (PPMS).

Over the past two decades, significant progress has been made in the treatment of MS with the introduction of effective therapies for the RRMS form of the disease [2] but to date, the results of clinical trials focusing on the progressive form of the disease (SPMS and PPMS) have been generally disappointing. Indeed, the new treatments seem to be more effective in preventing new relapses or MRI lesions and in decreasing the disability progression in the short term than in the long term. An overview of recent therapeutics in progressive MS is presented in [3].

The understanding of the complex and diffuse mechanisms underlying multiple sclerosis is still limited and it’s believed that multimodal approach is needed to generate combined measures reflecting the respective weight of the mechanisms and thereby clarify the complex pathophysiology of the disease. Today, thanks to scientific advances in medical research, we have access to a wide range of biomarkers and it has been accepted that a unique biomarker can not, by itself, reflect all the disease mechanisms. Consequently, many studies were interested in combining biomarkers to improve the accuracy of diagnosis tests, or for other applications such as genotype classification or treatment selection. To do so, linear methods [4]–[8] or more recently nonlinear methods [9]–[11] has been popularly employed to combine multiple biomarkers in various neurological diseases.

Conventional MRI (e.g. T1-weighted MRI) provides crucial pieces of information of the MS mechanisms such as quantification of brain atrophy by measuring brain tissue volumes and cortical thickness (CTh) [12]. However conventional MRI sequences are unable to detect or quantify heterogeneous features of the disease (i.e. demyelination, remyelination and axonal loss). Non-conventional MRI sequences provides biomarkers that have the potential to overcome, at least partially, such limitations. Indeed, many studies suggest that Magnetization Transfer Ratio (MTR) is primarily a demyelination biomarker [13], and Fractional Anisotropy (FA) and Apparent Diffusion Coefficient (ADC) from the Diffusion weighted imaging are more representative of tissue destruction and axonal loss [14] [15].

One of the most important goal of a clinical trial is to be as precise as possible to deduce the effect of a drug and that’s the reason why we proposed to go further than traditional studies that propose individual biomarkers analysis based on statistical analysis between a treated group and a placebo group. The idea was firstly to perform a histogram analysis and extract 11 quantiles for each one of the MRI biomarker histograms, instead of relying our study on some single average measures such as the mean or the median. Indeed, some studies have investigated the benefit of histogram analysis in neurological diseases, according to [16], it provides
useful insight into underlying disease mechanisms and allows the quantification of diffuse pathological change. The use of this approach is particularly interesting in the case of MS due to the presence of simultaneously lesions in central nervous system and diffuse abnormality in brain tissue. MR measures as MTR [17] [18] and diffusion biomarkers (i.e. ADC and FA) are sensitive to this subtle abnormality and thus we used histogram analysis of these biomarkers to take into account the diffuse changes in MS. After the histogram analysis, we used a factorial analysis called Multiple Factor Analysis (MFA) to extract linear combinations of the biomarkers (i.e. principal components). Finally, we proposed a Hierarchical Clustering algorithm based on principal components (HCPC).

II. MATERIALS

A. Database

The data were obtained from an MRI substudy of a Multiple Sclerosis clinical trial called MS-SPI. MS-SPI is a phaseIII randomized placebo-controlled multicenter trial with the aim to study the effect of high dose-biotin (MD1003) in Progressive Multiple Sclerosis. MD1003 is an experimental drug that could promote the activity of several enzymes, including acetylCoA carboxylase, which are involved in the production of energy and the synthesis of myelin [19]. Its mode of action may affect targets related to progressive multiple sclerosis. The pilot study [20] [21] indicates clinical improvement (i.e. EDSS and T25FW) in 91% of participants within two to eight months of starting MD1003 treatment. During a 12-month placebo-controlled phase, patients were randomized to receive MD1003 (biotin 100mg) or placebo orally three times a day (TID). This was followed by MD1003 for all patients for a further 12 months and 24 months. The design of the clinical trial is presented in Fig. 1.

B. Participant

40 patients with progressive Multiple Sclerosis from the MS-SPI clinical trial were included to our study. Among these patients, 29 patients received the MD1003 drug and 11 patients received a placebo (see the demographic details in Table I)

C. MRI biomarkers

The 3 Tesla MRI from the clinical trial ancillary study provides conventional sequences (e.g. 3D T1-weighted MRI) and non-conventional sequences such as Diffusion tensor imaging (DTI) and Magnetization Transfer Imaging (MTI). We extracted 4 biomarkers from these MRI sequences:

– Cortical Thickness (CTh) was measured from T1-weighted MRI using the Matlab Toolbox CorThiZon [22]. The cortical thickness was computed on the whole cortical ribbon using a Laplace’s-equation-based algorithm as described in [23].
– Fractional Anisotropy (FA) and Apparent Diffusion Coefficient (ADC) were calculated in the whole brain from DTI using MedINRIA software (http://med.inria.fr/) on a voxel-by-voxel basis [24].
– Magnetization Transfer Ratio (MTR) was calculated in the whole brain from the Magnetization Transfer Imaging MTI following (1) on a voxel-by-voxel basis.

\[ MTR = \frac{S_{\text{off}} - S_{\text{on}}}{S_{\text{off}}} \times 100 \] (1)

where \( S_{\text{off}} \) and \( S_{\text{on}} \) represent the signal intensity with the Magnetization Transfer pulse (MT pulse) turned off and on, respectively.

III. METHOD

In order to combine the MRI biomarkers, Multiple Factor Analysis (MFA) was used. Since our aim is to conclude more effectively on the contribution of a treatment in a clinical trial, it was important to combine the biomarkers in the most accurate and efficient way.

TABLE I: Demographic and clinical characteristics of the study population at the baseline

<table>
<thead>
<tr>
<th></th>
<th>MD1003 (n=29)</th>
<th>Placebo (n=11)</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (years)</td>
<td>51.4 ± 9.0</td>
<td>50.5 ± 7.5</td>
<td>0.9</td>
</tr>
<tr>
<td>Sex (M/F)</td>
<td>16 / 13</td>
<td>4 / 7</td>
<td>0.3</td>
</tr>
<tr>
<td>MS form (PPMS / SPMS)</td>
<td>14 / 15</td>
<td>6 / 5</td>
<td>0.8</td>
</tr>
<tr>
<td>Disease duration (years)</td>
<td>15 ± 6.7</td>
<td>19.6 ± 7.7</td>
<td>0.2</td>
</tr>
<tr>
<td>EDSS</td>
<td>5.8 ± 1.0</td>
<td>6.3 ± 0.5</td>
<td>0.06</td>
</tr>
</tbody>
</table>

Plus-minus values are means ± standard deviation. All p-values are based on Mann-Whitney U-tests, apart from Sex and MS form comparisons, which were based on Chi-square tests.

MD1003 is the treatment that is evaluated in the clinical trial. Abbreviations: PPMS, Primary Progressive Multiple Sclerosis; SPMS, Secondary Progressive Multiple Sclerosis; EDSS, Expanded Disability Status Scale.
In our study, we started by using the classical approach that consists on evaluating the treatment based on individual and independent analysis of the biomarkers. Then, we applied our approach that first combined the biomarkers using MFA and then performed a hierarchical clustering on the principal components obtained from the MFA to cluster the patients receiving the treatment and patients receiving the placebo.

A. Individual analysis

The individual analysis of the biomarkers approach consists on performing a statistical analysis on each biomarker mean, separately. A comparison between the MD1003 group and the Placebo group based on the biomarkers means is performed using the Mann-Whitney U-test, a non-parametric alternative to the unpaired two-samples t-test. It’s used when the data are not normally distributed.

B. Combination of MRI biomarkers

1) Histogram analysis:

By computing the MRI biomarkers in the whole brain or in the entire cortex in the case of the cortical thickness, 3D biomarkers maps were obtained and shown in Fig. 2 where every voxel represent a value of the corresponding biomarker. From these maps, histograms were extracted and analyzed. In our case, histogram analysis consist on extracting 11 Quantiles from every biomarker histogram: Q0% (min), Q10%, Q20%, Q30%, Q40%, Q50%(median), Q60%, Q70%, Q80%, Q90% and Q100% (max). These quantiles were introduce as active variables in the Multiple Factor Analysis.

2) Multiple Factor Analysis based on quantiles:

Multiple factor analysis (MFA) is a weighted extension of Principal Component Analysis (PCA) for multiple data tables that measure sets of variables collected on the same observations. MFA makes it possible to analyze those tables of variables simultaneously, and to obtain results, in particular charts, that allow studying the relationship between the observations, the variables and tables [25].

In our case, subjects were scanned every year for a 3 years period, at the baseline (M00) and two times after that, at the 12th month (M12) and the 24th month (M24). 44 variables (11 quantiles × 4 biomarkers) are measured on 40 subjects (i.e. MS patients). These measures are made on 3 dates (i.e. M00, M12 and M24). There are many ways to analyze such set of data. One of them, suggested by the MFA, is to consider each date as a group of variables in the analysis of tables (i.e. sets of data). One of them, suggested by the MFA, is to consider each date as a group of variables in the analysis of tables (i.e. PCA on the MFA). The MFA reduces the dimensionality of the data into new variables (i.e. principal components) containing the most important information of the data. MFA can be considered as a preprocessing and denoising step which can lead to a more stable clustering. Indeed, MFA allows to take into account the groups structure in the clustering and make the clustering more robust by deleting the last dimensions.

To evaluate the clustering quality we used Rand Index (RI), a widely used external criterion. It is a measure of agreement between two sets of objects: first is the set produced by clustering process (i.e. HCPC clusters) and the other defined by external criteria (i.e. the actual labels). Rand index is related to the accuracy.

C. Hierarchical Clustering on Principal component

The Hierarchical Clustering on Principal Components (HCPC) approach allows us to combine standard methods used in multivariate data analysis: Principal component methods such as MFA and Hierarchical Clustering [26]. The MFA reduced the dimensionality of the data into new variables (i.e. principal components) containing the most important information of the data. MFA can be considered as a

<table>
<thead>
<tr>
<th>TABLE II: Biomarkers differences between the MD1003 group and the Placebo group</th>
</tr>
</thead>
<tbody>
<tr>
<td>A: Statistical analysis at the Baseline M00</td>
</tr>
<tr>
<td>CTh (mm)</td>
</tr>
<tr>
<td>MD1003</td>
</tr>
<tr>
<td>FA</td>
</tr>
<tr>
<td>ADC (×10^-3 mm²/s)</td>
</tr>
<tr>
<td>MD1003</td>
</tr>
<tr>
<td>MTR</td>
</tr>
</tbody>
</table>

B: Statistical analysis at M12

| CTh (mm)                                     |
| MD1003  | 2.6 ± 0.3  | Placebo | 2.7 ± 0.2  | n.s |
| FA      | 0.26 ± 0.02 | Placebo | 0.25 ± 0.02 | n.s |
| ADC (×10^-3 mm²/s)                           |
| MD1003  | 1.2 ± 0.1  | Placebo | 1.2 ± 0.1  | n.s |
| MTR     | 0.39 ± 0.02 | Placebo | 0.39 ± 0.00 | n.s |

C: Statistical analysis at M24

| CTh (mm)                                     |
| MD1003  | 2.6 ± 0.3  | Placebo | 2.7 ± 0.2  | n.s |
| FA      | 0.23 ± 0.03 | Placebo | 0.23 ± 0.02 | n.s |
| ADC (×10^-3 mm²/s)                           |
| MD1003  | 1.1 ± 0.1  | Placebo | 1.1 ± 0.1  | n.s |
| MTR     | 0.39 ± 0.02 | Placebo | 0.39 ± 0.01 | n.s |

Plus-minus values are means ± standard deviation. All p-values are based on Mann-Whitney U-tests. FA and MTR are fractions, their values vary between 0 and 1. Abbreviations: n.s, not significant; CTh, Cortical Thickness; ADC, Apparent Diffusion Coefficient; FA, Fractional Anisotropy; MTR, Magnetization Transfer Ratio.

IV. RESULTS

A. Statistical analysis

Based on the Mann-Whitney U-test, no significant differences were found between treated and placebo patients at the baseline M00, at M12 or at M24. The result are presented in TABLE II.

B. Multiple Factor Analysis on the biomarkers

As mentioned in Method section, the MFA groups are represented by the 3 dates (M00, M12 and M24) and the MFA variables by the quantiles of each biomarkers. We added a supplementary group called 'Drug' which describes whether a patient is from the MD1003 group or from the Placebo group. A supplementary group has no influence on the creation of the principal components but still has an importance for the interpretation.
By following the Kaiser rule that suggests to retain only the axes associated with eigenvalues higher than 1, we focused on the first 3 principal components (PCs) that explain 71% of the total variability carried by the data.

The individuals representation shown in Fig. 4, does not seem to show two distinct groups. Indeed, the confidence ellipses associated to the two groups MD1003 and Placebo overlap, meaning that the two groups are relatively similar. In Fig 3, we observe that the coordinates of the 3 active groups (M00, M12 and M24) on the 1st, 2nd and 3rd dimension are almost identical, they contribute similarly to the PCs. Unlike ‘Drug’, the supplementary group doesn’t contribute to the building of MFA PCs because its coordinates are very close to the origin of axes indicating little or no contribution to the MFA.

The RV coefficient allows to perform a correlation between matrix or tables. It can be interpreted as a generalization of the squared correlation from two single variables to two sets of variables [28].

The table III shows that the active groups (M00, M12 and M24) are strongly correlated to each other ($RV > 0.75$) and to the MFA ($RV > 0.9$). On the contrary, the supplementary group ‘Drug’ is poorly correlated to the active groups and to the MFA ($RV < 0.05$), which in one hand means that the information contained in the tables (i.e. active groups) are very similar and in another hand, it’s re-emphasizes the low contribution of the ‘Drug’ group.

### C. Evaluation of the Hierarchical Clustering on Principal component

Hierarchical Clustering was performed using Wards criterion on the 3 first principal components of the MFA. A number of 2 clusters was fixed to match the number of patients groups (MD1003 or Placebo). The euclidean distance is the metric chosen. The HCPC result is represented in the 3D dendrogram in Fig. 5.

The clustering evaluation consist on measuring clustering quality. Rand Index is the criterion used to evaluate HCPC outcomes. In our case, $RI = 0.50$. The two groups, MD1003 and Placebo are not successfully separated. The HCPC provided a validation of an observation made during the study of the MFA: The MD1003 group is similar to the Placebo group.

### V. DISCUSSION

The Multiple Factor Analysis results, based on the combination of MRI biomarkers quantiles, allows in one hand to study the relation between the patients receiving the tested drug and the ones that received the placebo and in another hand to evaluate the evolution over time. Indeed, as presented in the result, the MFA individuals chart shows that the confidence ellipses of the MD1003 and placebo groups overlap which attests that these groups are similar, this conclusion joins the conclusion establish in the individual analysis of the biomarkers. Furthermore, the Hierarchical clustering on PCs failed to separate MD1003 patients and Placebo patients. Thus, we can conclude that based on those MRI biomarkers (i.e. CTh, FA, ADC and MTR), the tested drug doesn’t seem to have an effect on progressive MS. Regarding the progression over time, the

<table>
<thead>
<tr>
<th>Drug</th>
<th>M00</th>
<th>M12</th>
<th>M24</th>
<th>MFA</th>
</tr>
</thead>
<tbody>
<tr>
<td>Drug</td>
<td>1.0</td>
<td>0.03</td>
<td>0.04</td>
<td>0.04</td>
</tr>
<tr>
<td>M00</td>
<td>0.03</td>
<td>1.0</td>
<td>0.79</td>
<td>0.84</td>
</tr>
<tr>
<td>M12</td>
<td>0.04</td>
<td>0.79</td>
<td>1.0</td>
<td>0.82</td>
</tr>
<tr>
<td>M24</td>
<td>0.04</td>
<td>0.84</td>
<td>0.82</td>
<td>1.0</td>
</tr>
<tr>
<td>MFA</td>
<td>0.04</td>
<td>0.94</td>
<td>0.92</td>
<td>0.94</td>
</tr>
</tbody>
</table>
would be interesting to combine MRI biomarkers and clinical markers such as EDSS and TK25FW. In addition, despite the fact that whole brain histogram-analysis of biomarkers is quite sensitive to subtle change and for the detection of heterogeneous abnormality, we could refine the study even more by focusing not only on whole brain-histograms but also on the histograms of the gray matter and white matter. Indeed, [30] [31] suggest that MTR of normal appearing white matter using histogram analysis demonstrates abnormality in the brain where whole-brain MTR histogram-analysis has failed.

The aim of this study was to present a method that can combine MRI biomarkers based on biomarkers histogram-analysis instead of a single central tendency measures (e.g. the mean). Since, this drug doesn’t seem to have the ability to separate the treated group from the Placebo group based on these 4 MRI biomarkers, it would be interesting in a future study to work on a dataset that have clearly distinct groups (e.g. sick and healthy subjects) to quantify the superiority of our method and to do so, we would compare the clustering or classification performances in the two cases: Before combining the biomarkers and after applying our combined biomarker approach described in this paper.

VI. Conclusion

This paper proposes a method for combining multiple MRI biomarkers from various MRI modalities in the context of clinical trials. To respond to the question: Does the treatment used in the clinical trial have a positive effect on the treated disease. It is important to be as specific as possible by extracting a good amount of information from the biomarkers. To do so, we performed a histogram analysis as opposed to most studies that limit their work by using a single central tendency measure (e.g. the mean). The next step was to use a Multiple Factor Analysis (MFA) to combine the biomarkers in a way to maximize the variability of the data. The MFA charts give an idea of the effect of treatment on the disease, but it’s the Hierarchical Clustering performed on the principal components (i.e. linear combinations of the biomarkers) that provides the final answer to the initial question.

This method is a promising approach, which provides more information than traditional analyses such as individual analysis of the biomarkers. Thus, this approach should find its place in the range of methods used to evaluate the efficacy of a treatment in a clinical trial.

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